

#### ARKANSAS INSURANCE DEPARTMENT LICENSE DIVISION 1 COMMERCE WAY, SUITE 104 LITTLE ROCK, AR 72202

PHONE: 501-371-2750; FAX: 501-683-2604 Website: https://insurance.arkansas.gov/pages/industry-regulation/licensing/

#### **Producer License for Motor Club**

- a. Resident applicant must complete the Arkansas Individual Resident Insurance Producer License Application form AID-LI-RP MOTOR CLUB and attach a cashier's check, company check, or money order in the amount of \$20.00 made payable to "Arkansas Insurance Department Trust Fund." The fee is for a two year license.
  - b. EFFECTIVE MAY 1, 2006: RESIDENTS ONLY: The Arkansas Insurance Department is required to complete criminal background checks on all resident applicants. The applicant must complete the Arkansas State Police Form ASP-122 (located at the end of the License Application). You must also attach a <u>separate</u> company, agency, money order or cashier's check in the amount of \$22.00 made payable to the "Arkansas Insurance Department." No personal checks accepted.

NON-RESIDENTS: Must hold a resident license of motor club in their home state or another state if the home state does not license motor club. The nonresident must complete a motor club application with a \$25.00 fee. A nonresident does not complete a criminal background form.

c. Application forms and fees should be mailed to:

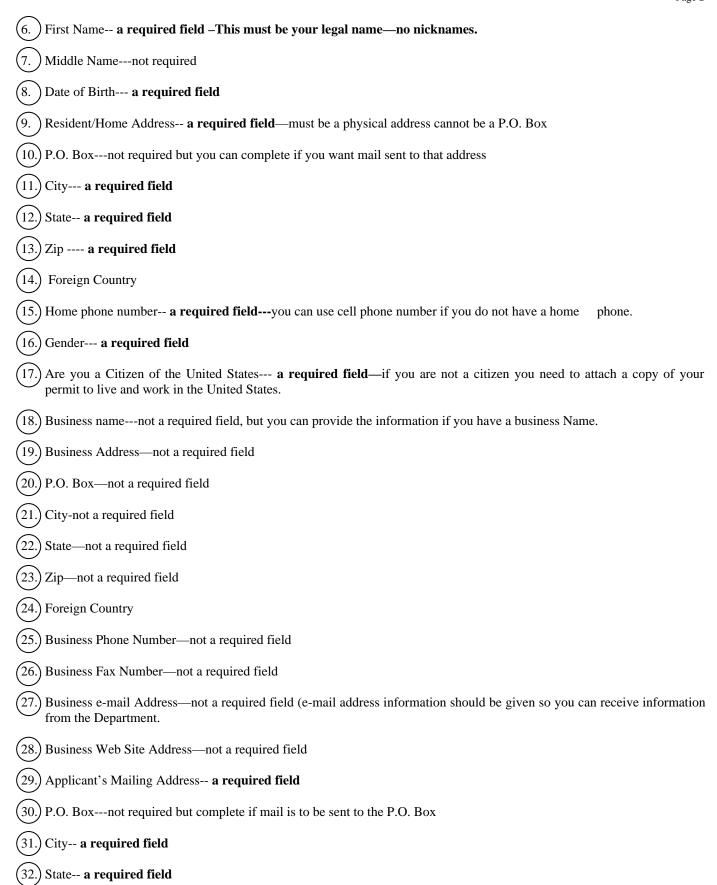
Arkansas Insurance Department License Division 1 Commerce Way, Suite 104 Little Rock, AR 72202-2087

- 2. These fees are fully earned when the application is processed. *These fees are not refundable*.
- 3. If the applicant has held a license in another state, a letter of clearance must be submitted with the application.
- 4. The applicant must be at least 18 years of age or if not of legal age, minority rights must be removed by a court order and a copy of the court order must be forwarded along with the application and fees.
- 5. How to complete the License Application:

Since an application is a legal form, certain fields of information are required and must be completed prior to the application being processed. If the <u>required</u> information is not disclosed on the application, the application will be returned for completion. These instructions illustrate the specific areas of the application that must have responses before the application can be processed. If the information is required, the item is marked "a required field," and you must provide us with this information. If you reach a line which is not required and the question does not apply to you, then mark the line "N/A." However, if you have information you can include it in the non-required filed -- one example is your e-mail address. If the Department has your e-mail address, we can send e-mail notices of important changes to laws and rules that govern your license. Since this is a legal document, corrections should be made by drawing one line through the incorrect information, rather than scratching out the information or using liquid paper. Illegible applications will be returned unprocessed.

#### Page 1 of Application

- 1. Social Security Number---a required field
- 2. If assigned, National Producer Number (NPN)
- (3.) If applicable, NASD Individual Central Registration Depository (CRD) Number
- (4.) Are you affiliated with a financial institution/bank? ---a required field
- (5.) Last Name--- a required field



- (33.) Zip-- a required field
- (34.) Foreign Country
- (35.) Assumed Business Name/Trade Name--- not a required field but should be given if you will use an assumed business name.
- (36.) Agency or Business Entity Affiliation— not a required field; <u>however</u>, completing this field will not put you on an agency license—the agency must submit an addition form #AID-LI-AGY-ADD and fee. This form can be found on the Department Website <u>www.insurance.arkansas.gov</u> under the License Division under License Forms.
- Employment History-- **a required field** -you must show a <u>full</u> 5 years of employment history and your dates must be consistent. If you run out of space you can put information on a piece of paper and attach to the application. Begin with the present then work backwards. This chronology should also include unemployment, military service or full time education.

#### Page 2 of Application

- (38.) Type of License---should be Producer---and Lines of Authority --- a required field
- (38a) Have you ever or are you currently licensed as agent, producer, consultant or broker in Arkansas --- a required field.

  If yes, list the dates and type of license--- a required field
- (38b) Have you ever or are currently licensed as agent, producer, etc in another state-- a required field

If you have been licensed in another state in the last 5 years include a clearance letter from the state. A Clearance Letter indicates that your resident license in the prior state has been cancelled and you were in "good standing" at the time of cancellation.

(39.) Required Fields – Required Documentation

If you answer any of the questions yes, you must attach a statement detailing what occurred and what was the outcome of the occurrence. The application indicates what additional documentation is required with the exception of 35.7 and if you answer yes, attach a statement regarding the reason for the arrearage, and documentation from Child Support Enforcement showing your current status of arrearage. If you have filed a bankruptcy, then attach a current and complete credit report to your application.

#### **Page 3 of Application**

(40.) Required Fields

The application must be dated and signed with your **full legal name**---no nickname or printed name. It must be a wet signature—not a stamp.

The next line must contain your full legal name—printed or typed

Any questions regarding the completion of an application should be address to the Arkansas Insurance Department License Division at 501-371-2750.



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## **Uniform Application for Arkansas Individual Resident Insurance Producer License**

(Please Print or Type)

(1) Soc. Security Number		② If assigned, National Producer Number (NPN)								
or if applicable, NASD Individual of Number	Depositor y (	(CRD) Are you affiliated with a financial ins				stitution/bank?				
(5) Last Name	JR./SR. etc.	@	First Nan	ne		O <sup>Mi</sup>	iddle Naı	ne	8 Date of Bir	th
Residence/Home Address (Physic	cal Street)	10 P.O. B	ox	City		•		13 State	3 Zip Code	[4] Foreign Country
(5) Home Phone Number	6 Gender Male F	emale [7	Are you Yes		f the Unite No (If (If	No, of	which co	ountry are	you a citizen?) of of eligibility to	work in the U.S.)
8 Business Entity Name										
(19) Business Address (Physical Street	)	P.O. Bo	OX	(City		<u> </u>	State		23 Zip Code	Foreign Country
(3) Business Phone Number	8 Business Fax N	umber		27 Busine	ess E-Mail	Addres	S		28 Business Wo	eb Site Address
(9) Applicant's Mailing Address	1	<b>10</b> P.O. Bo	ΟX	(1)City		<b>©</b>	State	③Zi <sub>I</sub>	Code	34Foreign Country
66 List your Insurance Agency Affili  FEIN  FEIN  FEIN	NPN	ly if the appl	Name of Name of	be licensed Agency _ Agency _		ive men				
				yment H						
37 Account for all time for the past five years. Give all employment experience starting with your current employer working back five years. Include full and part-time work, self-employment, military service, unemployment and full-time education.  From To  Month Year Month Year Position Held										
Name									]	osition field
City State	Foreign	Country			-				1.	
Name										
City State	Foreign	Country						r		
Name										
City State	Foreign	Country								
Name City State	Foreign	Country								
	Date received			Funds	s Received	l			Ch # RS #	
Date ProcessedASI Received Dated	Other D	ate Passed _			Exa	m Pass	ed			

		ype of License Requested						
8 Next to each jurisdic	ction, check the license type(s) and line(s) of authority for	which you are applying.						
License Types:	Producer							
Limited Lines:	Motor Club							
_	are you currently licensed as an agent, producer, consulta		Yes No					
If yes, list the dat	es and the type of license							
38b. Have you ever or are you currently licensed as an agent, producer, Consultant, broker or adjuster in another state? Yes No								
If yes, list the dat	tes and the type of license							
	Background Infor	rmation						
	t read the following very carefully and answer every ques		ied. All written					
statements submitte	ed by the Applicant must include an original signature.							
1. Have you ever been	a convicted of a crime, had a judgment withheld or deferred	ed, or are you currently charged with comm	tting a crime?	Yes	No			
	includes a misdemeanor, felony or a military offense.							
a suspended or re	g under the influence (DUI) or driving while intoxicated (evoked license and juvenile offenses. "Convicted" includes	les, but is not limited to, having been found	guilty by verdict of a					
judge or jury, hav	ving entered a plea of guilty or nolo contendre or no conte	est, or having been given probation, a suspe	nded sentence or a					
If you answer ye	s, you must attach to this application:							
a) a writ	tten statement explaining the circumstances of each incide ified copy of the charging document, and	ent,						
	ified copy of the official document, which demonstrates t	he resolution of the charges or any final jud	gment.					
	ony conviction involving dishonesty or breach of trust, hat equired by 18 USC 1033?	ve you applied for written consent to engag  N/A  Yes	e in the Business No					
If so, was consen	nt granted? (Attach copy of 1033 consent.)	N/A Yes	No					
	n named or involved as a party in an administrative processional or occupational license or registration?	eding, including FINRA sanction or arbitrati	on proceeding	Yes	No			
prohibition order being named as a registration. "Inv denial. INCLUE manager of a Lin	as having a license censured, suspended, revoked, canceler, a compliance order, sanctioned or surrendering a license a party to an administrative or arbitration proceeding, whi volved" also means having a license or registration applic DE any business so named because of your actions in your nited Liability Company. You may EXCLUDE terminational failure to pay a renewal fee.	e to resolve an administrative action. "Involute is related to a professional or occupation ation denied or the act of withdrawing an agreeapacity as an owner, partner, officer or discovered."	ved" also means al license or oplication to avoid a rector, or member or					
a) a writte b) a certif	s, you must attach to this application: en statement identifying the type of license and explaining ied copy of the Notice of Hearing or other document that ied copy of the official document, which demonstrates the	states the charges and allegations, and	ment.					
	en made or judgment rendered against you or any busines ager of limited liability company, for overdue monies by a ling?			Yes	No			
	s, submit a written statement summarizing the details of truptcy and a current credit report.	he indebtedness and arrangements for repay	ment, and/or type and					
Have you been noti of a repayment agre	ified by any jurisdiction to which you are applying of any element?	delinquent tax obligation that is not the sub	ject	Yes	No			
If you answer ye	s, identify the jurisdiction(s):							

5. Are you currently a party to, or have you ever been found liable in, any lawsuit or arbitration or mediation proceeding involving allegations of fraud, misappropriation or conversion of funds, misrepresentation or breach of fiduciary duty?							of Yes	No					
Ify	ou ar a) b) c)	a written statemen a certified copy o and a certified copy o	nt summarizing the Petition,	ng the details of , Complaint or	other docum	nent that comme				1 0	s,		
	6. Have you or any business in which you are or were an owner, partner, officer or director, or member or manager of limited liability company, ever had an insurance agency contract or any other business relationship with an insurance company terminated for any alleged misconduct?							y, Yes	No				
Ify	ou ar a) b)	a written statemen from receiving an copies of all relev	nt summarizii n insurance lic	ng the details or cense, and	of each incide	ent and explaini	ng why you	feel this inci	ident should not	prevent you			
7. Do y	ou hav	ve a child support of	obligation in a	arrearage?							Yes	No	
If	ou ar	nswer yes,											
	a)	by how many mo	onths are you i	in arrearage?								Months	
	b)	are you currently	subject to a r	epayment agre	eement?						Yes	No	
	c)	Are you the subje	ect of a child s	support related	l subpoena/w	arrant?					Yes	No	
		nswered yes, provid oport agency.	de documenta	tion showing	proof or curre	ent payments or	an approval	repayment j	plan from the ap	propriate state			
					Applicants	Certification	and Attes	station					
The A	pplica	nt must read the fo	ollowing very	carefully:									
1. 2. 3. 4. 5. 6. 7. 8.	sub lice Why whith the serve I further than the confidence of the	ereby certify that, umitting false inforr nse and may subjected and may subject	mation or omict me to civil I hereby destiss made to be rector or Supergrant permissible to verify in oder penalty obligation, or entions to give a dictions and an oderstand and ense Applications and an inderstand and ense Applications and arrows a permissible prosecured centropursuant to a perprint record	itting pertinent or criminal persignate the Corporation to the Conformation with of perjury, either of I have identically person actifications, I certify the cess pursuant alized reposite memorandum	tor material in alties.  mmissioner, lasservice of producer in any federal, are a) I have raified my chilon concerning on their be with the insurante to applicable ory maintaine of understand	Director or Super cother appropria Director or Super cother appropria Director or Super state or local goal of child-support disupport obligates goal me, as permitted chalf from any a rance laws and a nised and in good	onnection we erintendent of all insurance the party of the trintendent of the overnment at a obligation, ation arrearated by law, to all liability regulations of the distance of the trintendent and all Association articipating standing in the control of the trintendent and the trintendent articipating standing in the trintendent articipating standing in the trintendent articipating standing standing articipating standing	with this apple of Insurance, we matters in hat jurisdicting finsurance, agency, curred or b) I have age on this ago any federal atty of whatevof the jurisdien my home stacknowled on of Insurantate insuran	ication is groun , or other approp the respective ju ion is of the sam or other appropient or former en a child-support oplication. I, state or munic ver nature by rea ctions to which state/resident stat ges that the sub nee Commission ce departments	ds for license re priate party in eau risdiction and a re legal force an riate party in eau riblication and I ripal agency, or rison of furnishir I am applying fo rite for the lines mission of his o riers ("NAIC") a riand the NAIC.	evocation or d ach jurisdiction agree that serviced validity as justification and validity as justification and companies and companies and currently any other organies such inform or licensure. of authority r or her fingerpropers authorized l The resident a	enial of the on for vice upon personal of the y. vin ganization nation.  equested on the cord of the state applicant	
			Month	Day	Year			Original Ap	plicant Signatur	e			
							1	Full Legal N	Tame (Printed or	Typed)			



### ARKANSAS STATE POLICE

ASP-122 (Rev. 11/05)

# Identification Bureau Individual Record Check Form

Full Name:				/						
First	Middle	Last Name		Maiden/Other						
Date of Birth:(Month/	Day/Year)	State of Birth:	Race:	Sex:						
Social Security #:										
Driver's License #:		State of Issue:								
Mailing Address:										
Mailing Address:Street		City	State	ZIP						
Daytime Phone #: ( )										
I GIVE MY CONSENT FOR THE RECORD SEARCH ON MYSEL OR ENTITY:										
Name:ARKANSA (First/MI/Last Nar										
Mailing Address: 1 Commer	ce Wav	Little Rock	AR	72202-2087						
Street		City	State	ZIP						
Signature:			Date:							
(First/MI/Last Nar	ne)			(Month/Day/Year)						
(NO REQUEST WI	LL BE PROCESSEI	WITHOUT A NOTARI	ZED SIGNAT	URE)						
STATE OF										
		<b>§</b>								
COUNTY OF										
Subscribed and sworn before me,	a Notary Public, in	and for the county and	state aforesa	nid, this the						
day of		)								
			Notary	Public						